

2138-241  
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MP # A3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Kenneth K. Li ) Box Missing Parts  
Application No. 10/086,642 )  
Filed: March 4, 2002 ) Attention:  
For: COUPLING OF LIGHT FROM A ) Applications Branch  
NON-CIRCULAR LIGHT SOURCE )

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 C.F.R. 1.53(b) dated March 21, 2002, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 130.00 (surcharge under 37 C.F.R. 1.16(e))  
740.00 (basic filing fee)  
324.00 (additional claims fee)  
**\$ 1,194.00 TOTAL**

Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document is being submitted concurrently under separate cover:

Assignment w/Form PTO-1619 and \$40.00 check.

Submission of Formal Drawings w/22 sheets of formal drawings.

Respectfully submitted,

By 

George R. Repper, Registration No. 31,414  
Attorney for Applicants  
ROTHWELL, FIGG, ERNST & MANBECK, p.c.  
Suite 800, 1425 K Street, N.W.  
Washington, D.C. 20005  
Telephone: (202)783-6040

Enclosures

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SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts dated March 21, 2002, attached hereto are 22 sheets of formal drawings to replace the 22 sheets of informal drawings filed with the subject application.

Respectfully submitted,

By

George R. Repper, Registration No. 31,414  
Attorney for Applicants  
ROTHWELL, FIGG, ERNST & MANBECK, p.c.  
Suite 800, 1425 K Street, N.W.  
Washington, D.C. 20005  
Telephone: (202)783-6040

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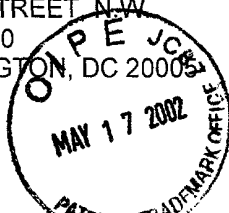
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/086,642	03/04/2002	Kenneth K. Li	2138-241

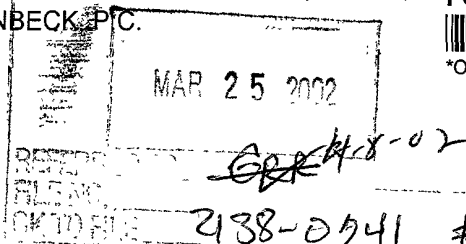
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ROTHWELL, FIGG, ERNST & MANBECK P.C.  
1425 K STREET, N.W.  
SUITE 800  
WASHINGTON, DC 20005



RECEIVED/DOCK

ROTHWELL FIGG ERNST &amp; MANBECK P.C.



## FORMALITIES LETTER

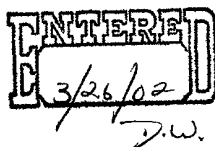


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CONFIRMATION NO. 7005

Date Mailed: 03/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION



FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$324.  
■ \$324 for 18 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1194.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));


740.00 OF  
324.00 OF  
130.00 OF

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01 FC:101  
02 FC:103  
03 FC:105

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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